



#4 2661  
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TC 2600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): B. Arlen Young  
Assignee: Adaptec, Inc.  
Title: A METHOD AND STRUCTURE FOR SUPPORTING FLOW  
CONTROL BY A SCSI INITIATOR DURING THE DATA OUT  
PHASE OF THE PACKETIZED SCSI PROTOCOL  
Serial No.: 09/745,035 Filed: December 20, 2000  
Examiner: Unknown Group Art 2661  
Unit:  
Docket No.: ADPT1058

Monterey, CA  
March 1, 2004

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COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA. 22313-1450

MAR 08 2004

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,  
Applicant(s) wish to call the following documents (a copy of  
each is enclosed) to the attention of the Examiner:

**U.S. PATENT DOCUMENTS:**

	DOCUMENT NUMBER	DATE	NAME
1)	5,155,735	10/13/1992	Nash et al.
2)	5,287,463	02/15/1994	Frame et al.
3)	5,471,634	11/28/1995	Giorgio et al.
4)	5,524,268	06/04/1996	Geldman et al.
5)	5,619,646	04/08/1997	Hoch et al.
6)	5,740,466	04/14/1998	Geldman et al.
7)	5,812,875	09/22/1998	Eneboe

8)	5,845,154	12/01/1998	Krakirian
9)	5,892,958	04/06/1999	Nagashige et al.
10)	6,185,620 B1	02/06/2001	Weber et al.
11)	6,282,584 B1	8/28/2001	Garroussi et al.
12)	6,438,128 B1	08/20/2002	Kashyap
13)	6,477,165 B1	11/5/2002	Kosco
14)	6,493,750 B1	12/10/2002	Mathew et al.
15)	6,493,785 B1	12/10/2002	Galloway
16)	6,542,924 B1	4/1/2003	Abe
17)	6,609,161 B1	8/19/2003	Young

**OTHER DOCUMENTS:**

1)	"Ultra160, Ultra3, Ultra320 SCSI: The Next Steps in SCSI," A White Paper, gLogic Corp., pp. 1-20, May 11, 2000.
2)	"More efficient command and data transfer with Packetized SCSI," IBM, pp 1-7, November, 1999.
3)	"Packetized SCSI, QAS, and Bus Fairness," White Paper, 9 pages, November 7, 2000

A PTO form 1449 listing these documents is enclosed.

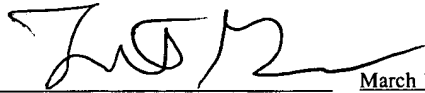
Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

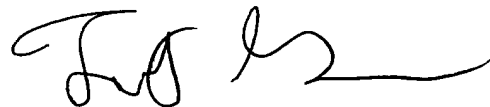
If the USPTO issued a first office action prior to the mailing date of this paper, the Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA. 22313-1450, on March 1, 2004.

  
\_\_\_\_\_  
Attorney for Applicant(s)      Date of Signature

Respectfully submitted,



**Forrest Gunnison**  
Attorney for Applicant(s)  
Reg. No. 32,899  
(831) 655-0880

Form PTO-1449

Atty Docket No.

Serial No.

ADPT1058

09/745,035

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

Applicant(s)

B. Arlen Young

Filing Date

December 20, 2000

Group

2661

(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
	AA	5,155,735	10/13/1992	Nash et al.	371	49.1	
	AB	5,287,463	02/15/1994	Frame et al.	395	325	
	AC	5,471,634	11/28/1995	Giorgio et al.	395	600	
	AD	5,524,268	06/04/1996	Geldman et al.	710	5	
	AE	5,619,646	04/08/1997	Hoch et al.	395	200.01	
	AF	5,740,466	04/14/1998	Geldman et al.	710	5	
	AG	5,812,875	09/22/1998	Eneboe	395	840	
	AH	5,845,154	12/01/1998	Krakirian	395	894	
	AI	5,892,958	04/06/1999	Nagashige et al.	713	323	
	AJ	6,185,620 B1	02/06/2001	Weber et al.	709	230	04/03/1998
	AK	6,282,584 B1	8/28/2001	Garroussi et al.	710	5	08/18/1998

**FOREIGN PATENT DOCUMENTS**

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	"Ultra160, Ultra3, Ultra320 SCSI: The Next Steps in SCSI," A White Paper, gLogic Corp., pp. 1-20, May 11, 2000.
	AS	"More efficient command and data transfer with Packetized SCSI," IBM, pp 1-7, November, 1999.
	AT	"Packetized SCSI, QAS, and Bus Fairness," White Paper, 9 pages, November 7, 2000

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Form PTO-1449

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,438,128 B1	08/20/2002	Kashyap	370	389	05/08/2001
	AB	6,477,165 B1	11/5/2002	Kosco	370	389	Multiple
	AC	6,493,750 B1	12/10/2002	Mathew et al.	709	220	10/30/1998
	AD	6,493,785 B1	12/10/2002	Galloway	710	314	Multiple
	AE	6,542,924 B1	4/1/2003	Abe	709	208	Multiple
	AF	6,609,161 B1	8/19/2003	Young	710	5	06/1/2000
	AG						
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	AJ						
	AK						

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	AL							
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